

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**TRANSMITTAL OF SMALL ENTITY  
STATEMENT AND REQUEST FOR  
REFUND**

Docket Number:  
52494/2202

Application Number  
09/441,857

Filing Date  
November 18, 1999

Examiner

Art Unit

Invention Title  
**IDENTIFICATION OF DIFFERENTIALLY  
METHYLATED MULTIPLE DRUG RESISTANCE  
LOCI**

Inventor(s)  
DUFFY et al.

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: 1/14/00

Reg. No. 36,477

Signature: *Robin A. Chadwick*

Robin A. Chadwick

Enclosed is a verified statement claiming small entity status for the  
above-referenced patent application.

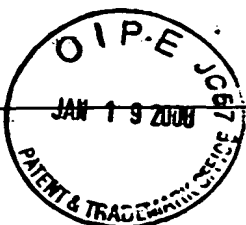
Pursuant to 37 C.F.R. 1.28(a) and 1.26, Applicants request a refund of \$2,694.00 which is  
the excess amount of the filing fee timely paid prior to establishing small entity status. The refund  
should be credited to Deposit Account No. 11-0600. A duplicate of this letter is enclosed.

Dated: *Jan 14, 2000*

By: *Robin A. Chadwick*

Robin A. Chadwick (Reg. No. 36,477)

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U.S. DEPARTMENT OF COMMERCE  
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**VERIFIED STATEMENT CLAIMING  
SMALL ENTITY STATUS  
37 CFR 1.9(c-f) and 1.27(b-d)**

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With respect to the invention described in application Serial No. 09/441,857, filed November 18, 1999, I declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

ORGANIZATION: North Shore - Long Island Jewish Research Institute  
ADDRESS: 350 Community Drive, Manhasset, New York 11030  
ORGANIZATION TYPE: An organization which qualifies as tax exempt under Internal Revenue Service Code (26 U.S.C. 501(a) and 501(c)(3).

and that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under 35 USC 41(a) and (b).

**1. OWNERSHIP OF INVENTION BY DECLARANT**

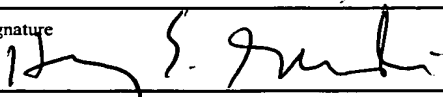
I hereby declare that all rights under contract or law have been conveyed to the above identified Non-Profit Organization, and no rights to the invention are held (1) by any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, (2) any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or (3) a non-profit organization under 37 CFR 1.9(e).

## 2. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

## 3. DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further than these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under 18 USC 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

|  |  |                  |
|--|--|------------------|
| Signer's Name<br>Harry E. Gindi  | Signature<br> | Date<br>1-7-2000 |
| Signer's Title:<br>Assistant Secretary   |  |                  |
| Signer's Address:<br>North Shore - Long Island Jewish Research Institute<br>350 Community Drive, Manhasset, New York 11030 |  |                  |